KDOL Update

Peter Brady

Deputy Secretary

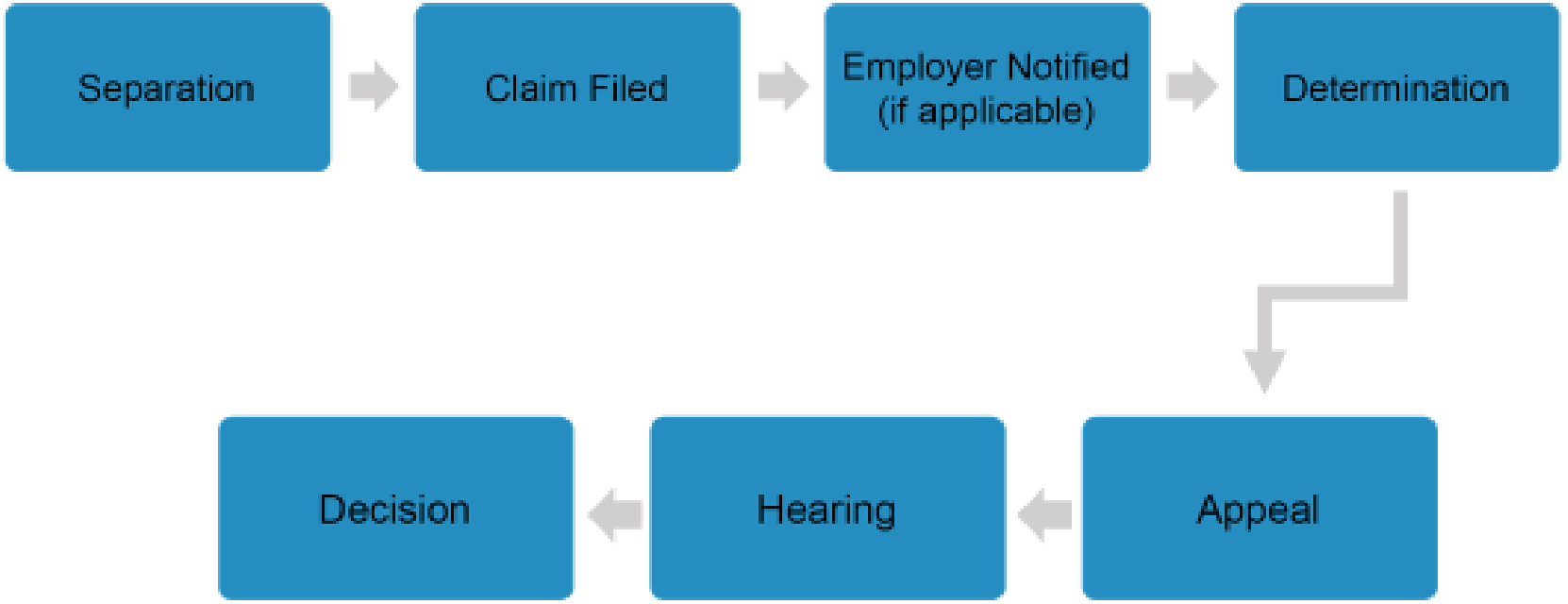
Unemployment Compensation Modernization and Improvement Council

July 12, 2021

Kansas Department of Labor

Lifecycle of a Claim

Initial Claim Process



Separation

- **Separation From Work:** individual stops working for an employer either temporarily or permanently
- **Voluntary Separation**: individual chooses to leave work
  - Generally denied UI benefits unless individual can show good cause
  - Examples: quitting, leave of absence
- **Involuntary Separation**: An employer forces an individual to stop work
  - Generally qualify for UI benefits unless evidence shows your employment ended because of misconduct connected to your work
  - Examples: firing, layoff, suspension

Filing An Initial Claim

- Initial claims can be filed online (www.getkansasbenefits.gov) or by phone
- Claimant is asked several questions pertaining to:
  - Personal Information
  - Citizenship & Statistical Information
  - Exclusionary Questions – some responses may require claimant to speak to a customer service rep to finish filing
  - Employment History
  - Able & Available – series of questions that deal with one's ability and availability to obtain new employment

Employer Notification & Determination

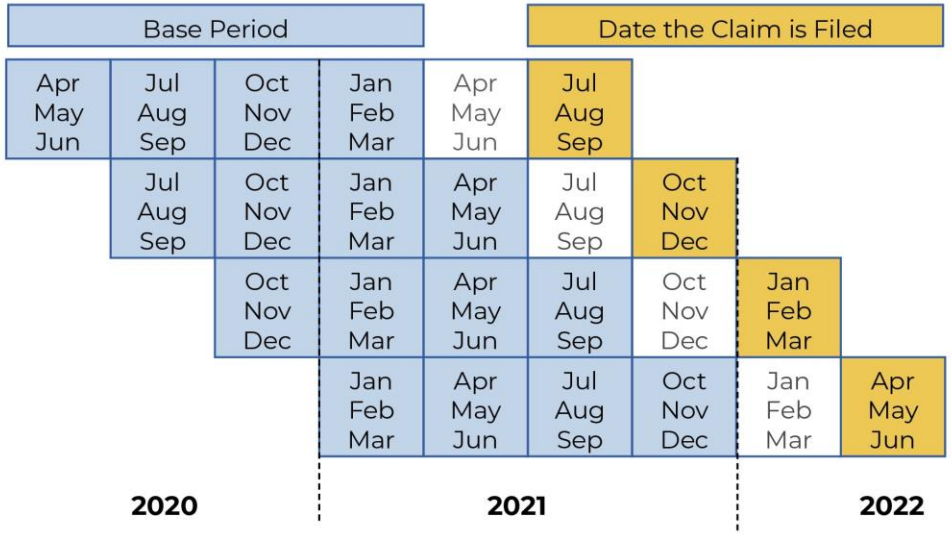
- KDOL processes the claim through several systems to determine a claimant's eligibility for UI benefits.
  - May send notice to last employer to determine UI benefit eligibility.
  - If a claim raises questions, it goes through our adjudication process to resolve them
  - Checks our systems for things like past wages & employers and out-of-state wages or claims.
  - Calculates weekly benefit amount by looking at wages earned during the base period, the first 4 of the 5 preceding quarters from when a claim is filed.

Kansas Department of Labor

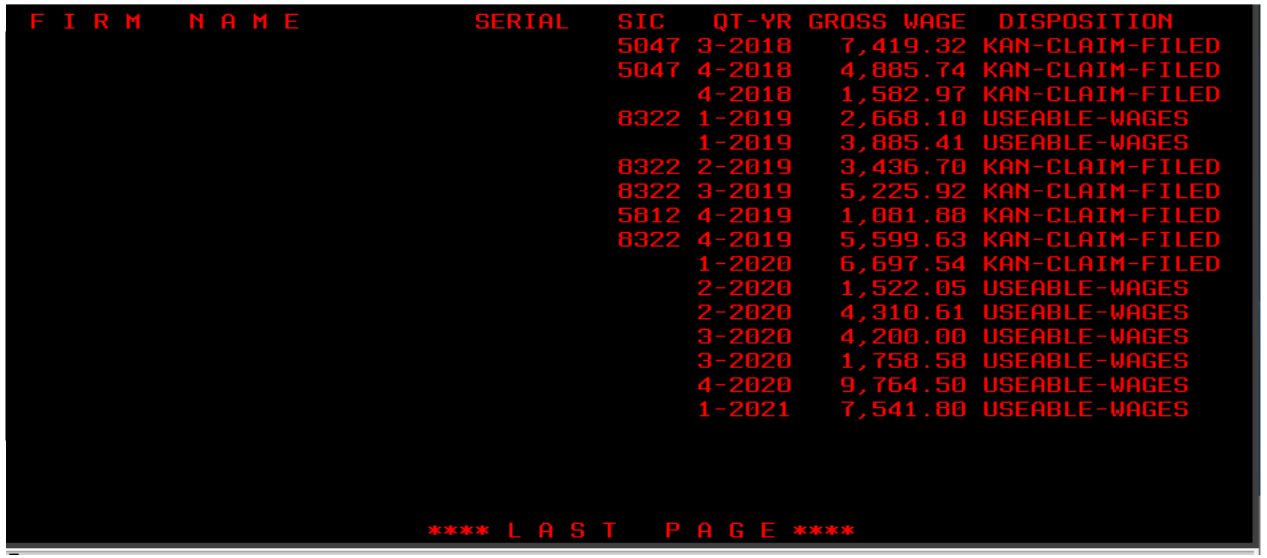
Base Period

Calculating Unemployment Benefits

The amount of benefits a claimant is eligible for is calculated by the earnings made during the base year of the claim. Claimants must have wages in at least 2 of the 4 quarters in the base period. The base period is the first 4 of the 5 preceding quarters from when a claim is filed.



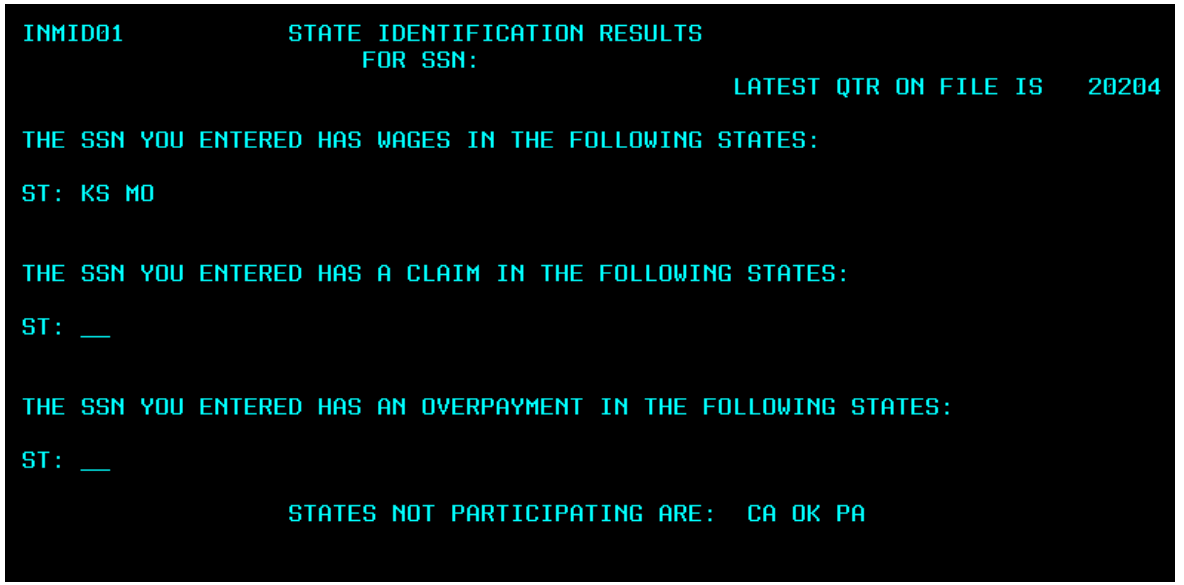
The BASI system is used to check for wages and employers.



FIRM NAME	SERIAL	SIC	QT-YR	GROSS WAGE	DISPOSITION
		5047	3-2018	7,419.32	KAN-CLAIM-FILED
		5047	4-2018	4,885.74	KAN-CLAIM-FILED
			4-2018	1,582.97	KAN-CLAIM-FILED
		8322	1-2019	2,668.10	USEABLE-WAGES
			1-2019	3,885.41	USEABLE-WAGES
		8322	2-2019	3,436.70	KAN-CLAIM-FILED
		8322	3-2019	5,225.92	KAN-CLAIM-FILED
		5812	4-2019	1,081.88	KAN-CLAIM-FILED
		8322	4-2019	5,599.63	KAN-CLAIM-FILED
			1-2020	6,697.54	KAN-CLAIM-FILED
			2-2020	1,522.05	USEABLE-WAGES
			2-2020	4,310.61	USEABLE-WAGES
			3-2020	4,200.00	USEABLE-WAGES
			3-2020	1,758.58	USEABLE-WAGES
			4-2020	9,764.50	USEABLE-WAGES
			1-2021	7,541.80	USEABLE-WAGES

**** LAST PAGE ****

The SIDI system checks for out-of-state wages or claims.



INMID01 STATE IDENTIFICATION RESULTS
FOR SSN:

LATEST QTR ON FILE IS 20204

THE SSN YOU ENTERED HAS WAGES IN THE FOLLOWING STATES:

ST: KS MO

THE SSN YOU ENTERED HAS A CLAIM IN THE FOLLOWING STATES:

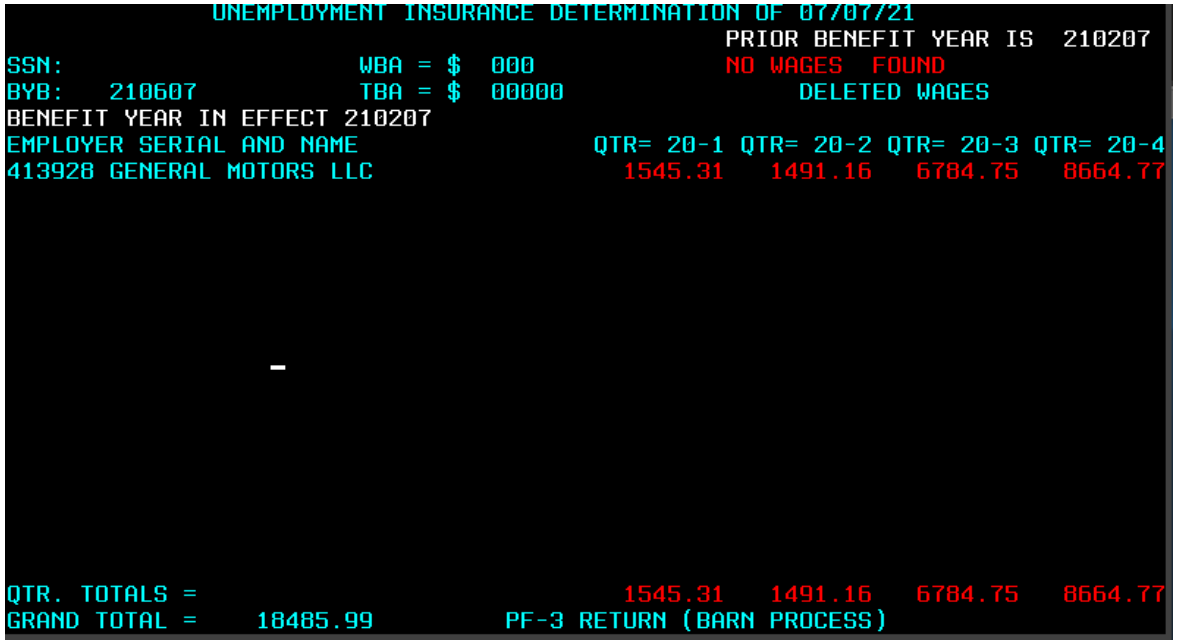
ST: __

THE SSN YOU ENTERED HAS AN OVERPAYMENT IN THE FOLLOWING STATES:

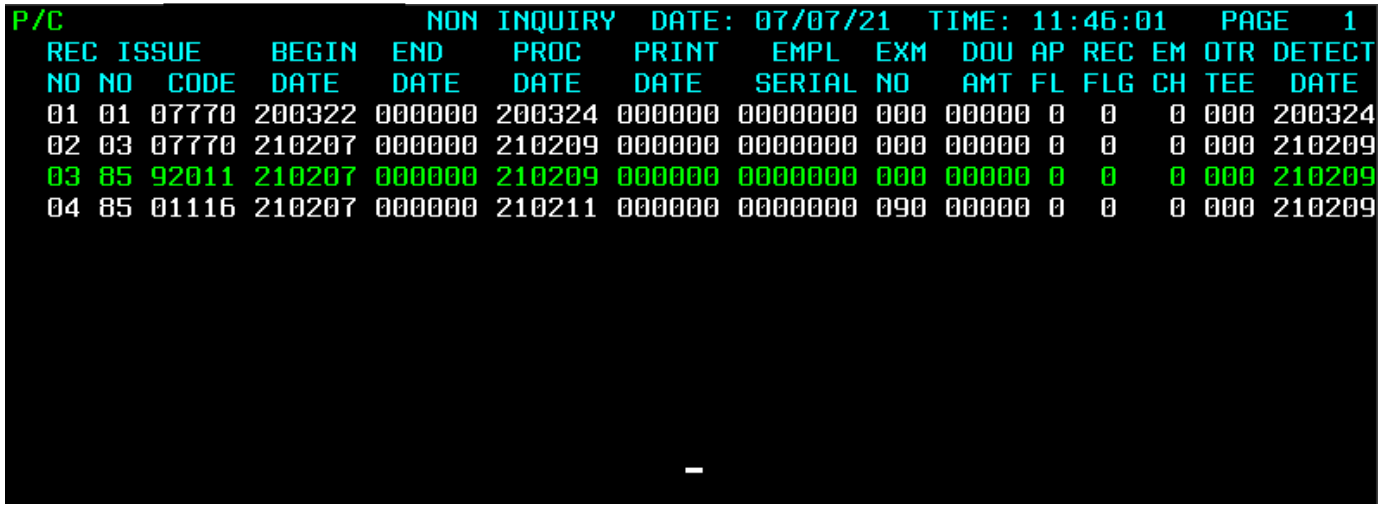
ST: __

STATES NOT PARTICIPATING ARE: CA OK PA

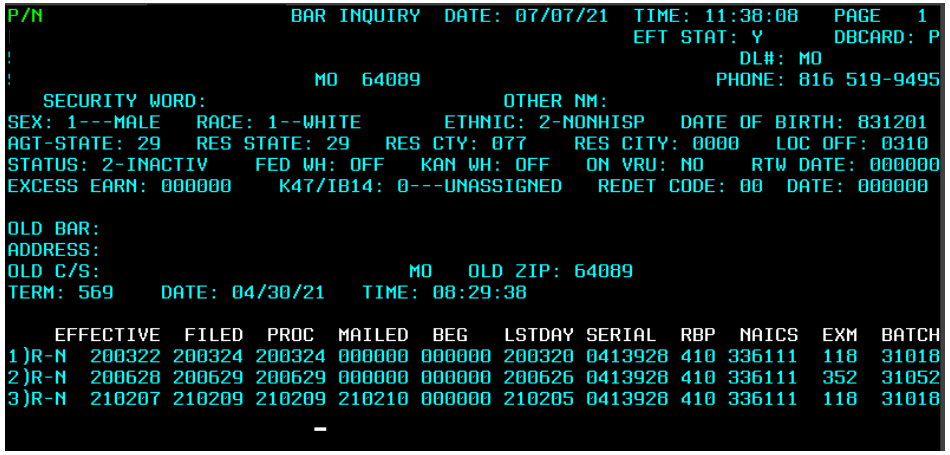
The HRDE system shows what the monetary entitlement will be for most claims.



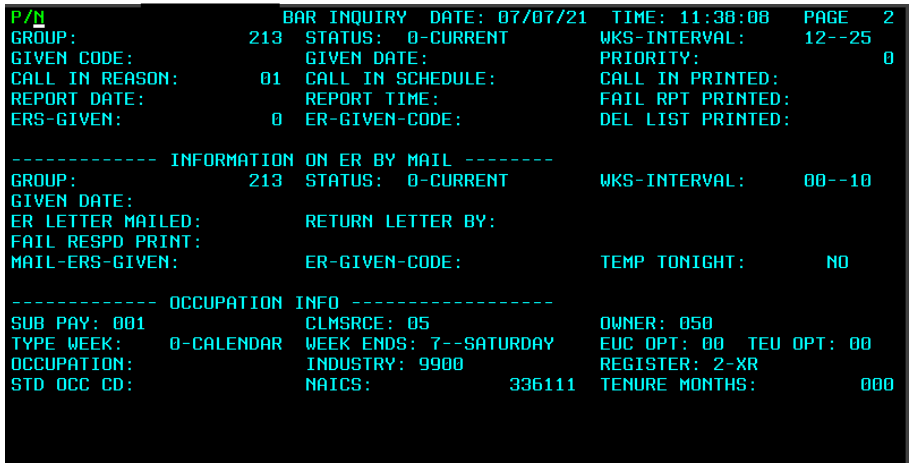
The NONI system shows if determinations are pending/been made.



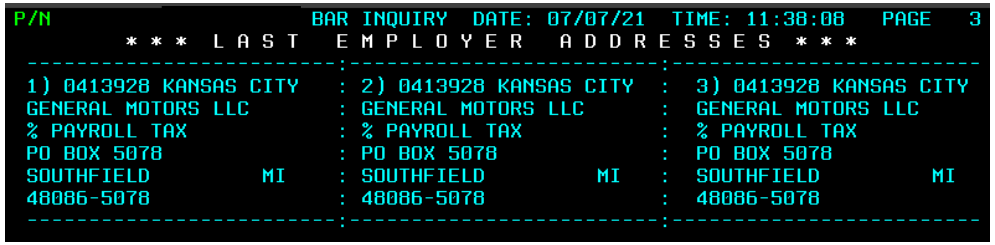
The BARI system shows several things related to a claim: pending applications, type of claim, weekly claims filed & paid, entitlement, claim balance remaining, benefit year beginning & ending dates, pending wage investigations.



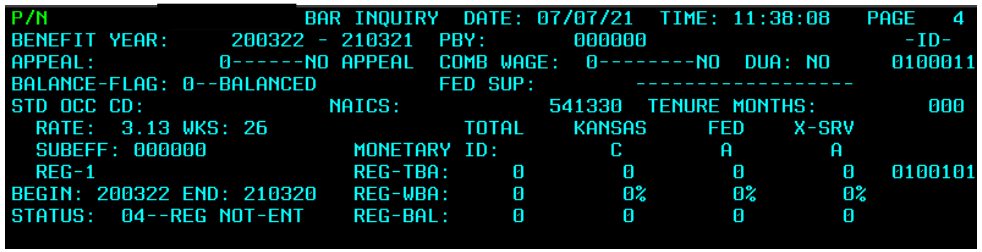
```
P/N                    BAR INQUIRY  DATE: 07/07/21  TIME: 11:38:08   PAGE   1
                                                   EFT STAT: Y      DBCARD: P
!                                                          DL#: MO
!                        MO  64089                        PHONE: 816 519-9495
   SECURITY WORD:                        OTHER NM:
SEX: 1---MALE   RACE: 1--WHITE       ETHNIC: 2-NONHISP   DATE OF BIRTH: 831201
AGT-STATE: 29   RES STATE: 29   RES CTY: 077    RES CITY: 0000   LOC OFF: 0310
STATUS: 2-INACTIV    FED WH: OFF   KAN WH: OFF   ON VRU: NO    RTW DATE: 000000
EXCESS EARN: 000000    K47/IB14: 0---UNASSIGNED   REDET CODE: 00  DATE: 000000

OLD BAR:
ADDRESS:
OLD C/S:                          MO   OLD ZIP: 64089
TERM: 569    DATE: 04/30/21   TIME: 08:29:38

    EFFECTIVE  FILED  PROC  MAILED  BEG   LSTDAY SERIAL  RBP  NAICS  EXM  BATCH
1)R-N  200322 200324 200324 000000 000000 200320 0413928 410 336111  118  31018
2)R-N  200628 200629 200629 000000 000000 200626 0413928 410 336111  352  31052
3)R-N  210207 210209 210209 210210 000000 210205 0413928 410 336111  118  31018
```



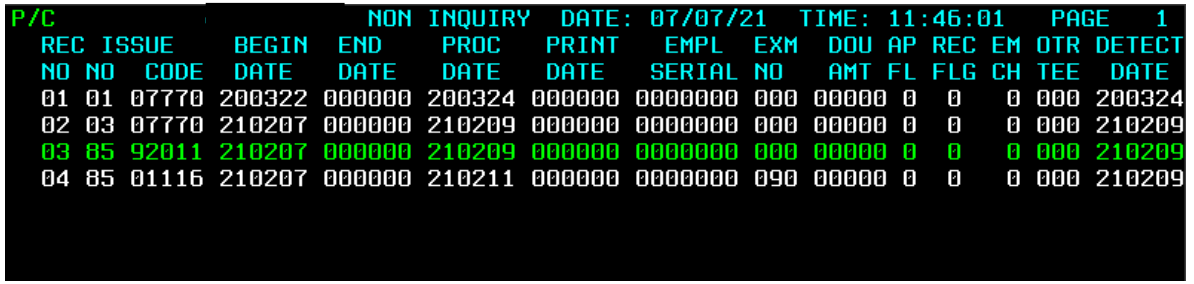
```
P/N                    BAR INQUIRY  DATE: 07/07/21  TIME: 11:38:08   PAGE   2
GROUP:                213  STATUS:  0-CURRENT        WKS-INTERVAL:     12--25
GIVEN CODE:                GIVEN DATE:               PRIORITY:                0
CALL IN REASON:        01  CALL IN SCHEDULE:         CALL IN PRINTED:
REPORT DATE:               REPORT TIME:              FAIL RPT PRINTED:
ERS-GIVEN:              0  ER-GIVEN-CODE:            DEL LIST PRINTED:

------------- INFORMATION ON ER BY MAIL --------
GROUP:                213  STATUS:  0-CURRENT        WKS-INTERVAL:     00--10
GIVEN DATE:
ER LETTER MAILED:          RETURN LETTER BY:
FAIL RESPD PRINT:
MAIL-ERS-GIVEN:            ER-GIVEN-CODE:            TEMP TONIGHT:       NO

------------- OCCUPATION INFO ------------------
SUB PAY: 001               CLMSRCE: 05               OWNER: 050
TYPE WEEK:    0-CALENDAR   WEEK ENDS: 7--SATURDAY    EUC OPT: 00  TEU OPT: 00
OCCUPATION:                INDUSTRY: 9900            REGISTER: 2-XR
STD OCC CD:                NAICS:            336111  TENURE MONTHS:         000
```



```
P/N                    BAR INQUIRY  DATE: 07/07/21  TIME: 11:38:08   PAGE   3
        * * *  L A S T   E M P L O Y E R   A D D R E S S E S  * * *
 -------------------------:-------------------------:-------------------------
 1) 0413928 KANSAS CITY   : 2) 0413928 KANSAS CITY  :  3) 0413928 KANSAS CITY
 GENERAL MOTORS LLC       : GENERAL MOTORS LLC      :  GENERAL MOTORS LLC
 % PAYROLL TAX            : % PAYROLL TAX           :  % PAYROLL TAX
 PO BOX 5078              : PO BOX 5078             :  PO BOX 5078
 SOUTHFIELD         MI    : SOUTHFIELD         MI   :  SOUTHFIELD         MI
 48086-5078               : 48086-5078              :  48086-5078
 -------------------------:-------------------------:-------------------------
```



```
P/N                    BAR INQUIRY  DATE: 07/07/21  TIME: 11:38:08   PAGE   4
BENEFIT YEAR:     200322 - 210321  PBY:       000000                    -ID-
APPEAL:          0------NO APPEAL  COMB WAGE:  0--------NO  DUA: NO     0100011
BALANCE-FLAG: 0--BALANCED          FED SUP:        ------------------
STD OCC CD:                NAICS:            541330  TENURE MONTHS:         000
  RATE:  3.13 WKS: 26                 TOTAL    KANSAS     FED    X-SRV
  SUBEFF: 000000             MONETARY ID:         C         A        A
  REG-1                      REG-TBA:     0        0         0        0   0100101
BEGIN: 200322 END: 210320    REG-WBA:     0        0%        0%       0%
STATUS:  04--REG NOT-ENT     REG-BAL:     0        0         0        0
```



```
P/C                    NON INQUIRY  DATE: 07/07/21  TIME: 11:46:01   PAGE   1
  REC ISSUE    BEGIN  END    PROC   PRINT   EMPL  EXM  DOU AP REC EM OTR DETECT
  NO NO  CODE  DATE   DATE   DATE   DATE   SERIAL NO   AMT FL FLG CH TEE  DATE
  01 01 07770 200322 000000 200324 000000 0000000 000 00000 0  0   0 000 200324
  02 03 07770 210207 000000 210209 000000 0000000 000 00000 0  0   0 000 210209
  03 85 92011 210207 000000 210209 000000 0000000 000 00000 0  0   0 000 210209
  04 85 01116 210207 000000 210211 000000 0000000 090 00000 0  0   0 000 210209
```

The BARI system **uses more than 230 additional codes** to track information related to a UI claim and its status. There are 74 codes related to claim source/status/type, 17 codes used to track reported earnings, and 16 codes tied to monetary status.

MONETARY CODES (10 BLK)

A .... Not Filed
B ..... Orig Deter Entitled
C ..... Orig Deter No Wages
D ..... Orig Deter Insuff Wages
E ..... Orig Deter PBY Entitled
F ..... Orig Determ PBY No Wages
G..... Orig Deter PBY Insuff Wages
H ..... Redet Entitled
I..... Redet No Wages
J..... Redet Insuff Wages
K ..... Redet PBY Entitled
L..... Redet PBY No Wages
M..... Redet PBY Insuff Wages
N ..... Pending
O..... Alternate Base Period – Entitled
X ..... Alternate Base Period – Not Entitled

EARNINGS CODES (80 BLK)

0..... No Earnings
1..... Employment Earnings
2..... Holiday Pay
3..... Vacation Pay
4..... Separation Pay
5..... Pension
6..... Earnings + Holiday + Vacation + Separation <WBA
7..... Holiday + Vacation + Separation Pay
8..... Earnings + Separation Pay
9..... Earnings + Holiday + Vacation Pay
10.... Earnings + Holiday Pay
11.... Earnings + Vacation Pay
12.... Holiday + Separation Pay
13.... Vacation + Separation Pay
14.... Holiday + Vacation Pay
15.... Earnings + Holiday + Separation Pay
16.... Earnings + Vacation + Separation Pay

CLAIM TYPE (02 BLK)

01.... K-Ben 321 Sent
02.... K-Ben 471 Sent
10.... DUA New Claim Intrastate
11.... DUA New Claim Interstate
12.... DUA Additional Intrastate
13.... DUA Additional Interstate
14.... DUA Redet Intrastate
15.... DUA Redet Interstate
16.... DUA Reopen Intrastate
17.... DUA Reopen Interstate
26.... Regular Redet
27.... New EUC Intrastate
28.... New EUC Interstate
29.... Additonal EUC Intrastate
30.... Additional EUC Interstate
31.... Reopen EUC Intrastate
32.... Reopen UEC Interstate
33.... EUC Redetermination
34.... Reg New Cm from BNBARN
35.... Reg New Claim for Intrastate
37.... Reg New Claim for Interstate
39.... Reg Rerun on a Monetary Deter
42.... Reg Redet Wage Restored

Between the five different systems that make up the mainframe, there are **73 transaction codes** that are used to bring up various information.

Transaction Codes

CODE	ACCESS	INFORMATION AVAILABLE
SIDI	SIDI enter	Displays what state(s) wages are reported for a particular SSN
SRVU	SRVU space SSN	Data entry screen to update military claim blocks
SUSP	SUSP four digit office number	To view claims on suspense from BARN keying screen
TN99	TN99 space SSN space yyyy (year you are requesting 1099)	Record of UI payments reported on Form 1099G and to remail 1099
UCFE	UCFE enter	Used to request federal wages and separation information, 931, 931A or 934. To view federal directory of federal agencies
VRHI	VRHI enter	Access to continued claims filed by phone, which have been purged from live file
WARD		Data entry screen for issuing replacement warrants

Weekly Claims

- Once an initial claim has been filed, claimants then file weekly claims
  - Claimants are asked to file weekly claims even though they are waiting on determination.
  - **Claimants cannot be paid benefits for any week they did not file**
- Claimant complete a weekly questionnaire that determines if they meet the four requirements of receiving UI benefits
  1. Must be wholly or partially unemployed each week
  2. Claim must be monetarily entitled
  3. Meets all eligibility requirements each week
  4. Not be subject to disqualification each week
- Can be filed online (www.getkansasbenefits.gov) or by phone

Appeal/Hearing/Decision

- If a KDOL determination prevents a claimant from receiving benefits, they have to right to appeal
  - Must be filed within 16 calendar days of the determination being mailed
  - Must be made in writing and include why the claimant believes determination was in error
- Appeal is referred to an appeals referee and scheduled for a Telephone Hearing
  - Claimants should continue to file weekly claims while the appeal is pending
  - If either party disagrees with the decision, they can request that the decision be reviewed by the Employment Security Board of Review
  - Can also appeal the Board's decision to the District Court, Court of Appeals and Kansas Supreme Court if desired.